

**Investor Information to the unitholders of a UCITS fund of Lupus alpha
Investment GmbH, Frankfurt am Main**

As a matter of principle, the management of Lupus alpha Investment GmbH (the company) treats all private and institutional investors (shareholders) equally and ensures that the interests of one shareholder or a specific group of shareholders are not placed above the interests of another shareholder or another group of shareholders. Interested shareholders, therefore, have the opportunity to obtain certain fund information (such as individual fund positions, top 10 holdings, etc.) free of charge from the company upon request. Any fund information requested shall, in principle, be made available to all shareholders of the UCITS fund if and to the extent that the company offers such fund information for the UCITS fund. The company may, at any time, revoke without notice or discontinue without notice the dissemination of fund information to all unitholders of a UCITS fund.

Interested shareholders of a UCITS fund of the company shall send their enquiry about the fund information by means of an informal e-mail to info@lupusalpha.de. The following documents must be enclosed with the request:

- Current custody account statement, which proves the unit ownership beyond any doubt
- Certified copy of identity card/passport or current extract from the commercial register
- Specification of an e-mail address for the report in PDF format

After reviewing their documents, interested shareholders will immediately receive a confidentiality agreement, the original of which must be signed and sent to the following address:

Lupus alpha Investment GmbH, - Compliance -, Speicherstraße 49-51, 60327 Frankfurt am Main

As soon as the company has received all documents in full, the fund information will be sent to all interested shareholders at the specified e-mail address on the offered rotation. The cycle differs depending on the type of fund information offered and is the same for all interested shareholders. Proof of unit ownership must be provided on a quarterly basis.

Frankfurt am Main in May 2020

Lupus alpha Investment GmbH
Executive Management